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APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/852,375	-	05/10/2001	Martin Blumenfeld	09531-092001/99186	5424	
26191	7590	07/13/2004		EXAM	EXAMINER	
FISH & RI 3300 DAIN	<del>_</del> -		NGUYE	NGUYEN, TU T		
60 SOUTH				ART UNIT PAPER NUMBER 2877		
MINNEAPO	DLIS, MN	55402				
				DATE MAILED: 07/13/2004	1	

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)					
	09/852,375	BLUMENFELD E	ET AL.				
Office Action Summary	Examin r	Art Unit					
	Tu T. Nguyen	2877					
Th MAILING DATE of this communication a Period for Reply	ppears on the cover shee	et with the correspond nc a	ddress				
A SHORTENED STATUTORY PERIOD FOR REF THE MAILING DATE OF THIS COMMUNICATION  - Extensions of time may be available under the provisions of 37 CFR after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a r  - If NO period for reply is specified above, the maximum statutory perion  - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the may earned patent term adjustment. See 37 CFR 1.704(b).	N. 1.136(a). In no event, however, make the statutory minimum of the will apply and will expire SIX (6) that the cause the application to become	ay a reply be timely filed of thirty (30) days will be considered time MONTHS from the mailing date of this ne ABANDONED (35 U.S.C. § 133).					
Status							
1) Responsive to communication(s) filed on 26	April 2004.						
2a) ☐ This action is <b>FINAL</b> . 2b) ☑ T	nis action is non-final.						
3) Since this application is in condition for allow	3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
closed in accordance with the practice unde	r <i>Ex parte Quayle</i> , 1935	C.D. 11, 453 O.G. 213.					
Disposition of Claims							
4)⊠ Claim(s) <u>1-115</u> is/are pending in the applica	tion.						
4a) Of the above claim(s) <u>22 and 95-104</u> is/are withdrawn from consideration.							
5) Claim(s) is/are allowed.							
6)⊠ Claim(s) <u>1-21,23-94 and 105-115</u> is/are rejected.							
7) Claim(s) is/are objected to.							
8) Claim(s) are subject to restriction and	I/or election requirement	•					
Application Papers							
9) The specification is objected to by the Exami	ner.						
10)⊠ The drawing(s) filed on <u>10 May 2001</u> is/are:	a)⊠ accepted or b)□ o	bjected to by the Examiner.					
Applicant may not request that any objection to the	ne drawing(s) be held in ab	eyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).							
11) The oath or declaration is objected to by the	Examiner. Note the attac	ched Office Action or form P	TO-152.				
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for forei	gn priority under 35 U.S.	C. § 119(a)-(d) or (f).					
a) All b) Some * c) None of:	unto hou a boom moneix and						
1. Certified copies of the priority docume							
<ul> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li> </ul>							
application from the International Bure		cen received in this ivationa	i Stage				
* See the attached detailed Office action for a l		not received.					
	•						
Attachment(s)	<b>∆</b>	iou Summer (DTO 440)					
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper	iew Summary (PTO-413) No(s)/Mail Date					
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/0 Paper No(s)/Mail Date	)8) 5) ☐ Notice 6) ☐ Other	e of Informal Patent Application (PT	O-152)				
U.S. Patent and Trademark Office	O) L. Ottler	··					
	Action Summary	Part of Paper No./Mail (	Date 20040709				

## Election/Restrictions

Applicant's election without traverse of Group I (claims 1-21, 23-94, 105-115) in the reply filed on 04/26/2004 is acknowledged.

## **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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Claims 1-21,23-94,105-114 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-37,39-77, 88-97 of copending Application No. 09/434,027. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of copending Application No. 09/434,027 discloses all the claimed limitations in the current application.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

## Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-21,23-94,105-112 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brown et al (2003/0133009) OR Stabile et al (5,872,623) in view of Che (6,140,653).

With respect to claims 1,23,80-81, Stabile discloses a system for use in detecting biological sample. The system comprises: a position apparatus 205 (fig 1B) for providing the sample in a sampling position; an electronic light detector array 212 (fig 1B) having a plurality of detector pixels located at particular detector pixel addresses

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(figs 3B or 6 or column 2, lines 54-67; column 3, lines 1-15); a light source 201 (fig 1B); a control circuit 250 (fig 1B) for acquiring at least one frame of image data.

Brown discloses a system for use in detecting biological sample. The system comprises: a position apparatus 48 (fig 3) for providing the sample 32 (fig 3) in a sampling position; an electronic light detector array 38 (fig 3) having a plurality of detector pixels located at particular detector pixel addresses (paragraphs [0029], [0032], [0034], [0035]); a light source 36 (fig 3); a control circuit 47 (fig 3) for acquiring at least one frame of image data.

Brown or Stabile do not explicitly disclose a sample holding structure having first and second opposing sides. The claimed sample holding structure would have been known. Further, Che discloses a sample holder 60 (fig 2). It would have been obvious to modify Brown or Stabile with a known sample holder or Che's sample holder to control the position of the sample easier.

With respect to claims 2,24, Brown discloses acquiring a plurality of frames of image data (fig 4, fig 5).

With respect to claim 3, Brown discloses a display 110 (fig 7).

With respect to claims 4,20,25,43,79, Brown discloses using the system for detecting fluorescence samples (paragraph [0003]) and detecting only a portion of sample 42 (fig 3) at a time.

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With respect to claims 5,26,44, Brown does not explicitly disclose the claimed limitation. However, Stabile discloses a system wherein one or more of the detector pixels 711 (fig 7) does not include any portion of the holding structure 722 (fig 7).

With respect to claims 6,13,27,34, Che discloses the sample surface 12 (fig 1) facing the plurality of detector pixels 18 (fig 1).

With respect to claims 7,28,45,54-56,82, Brown discloses the claimed filter 35 (fig 3).

With respect to claims 8,14,29,35,46,111, the claimed focusing lens would have been known. It would have been obvious to modify Brown with the known focusing lens to facilitate the detecting.

With respect to claims 9,15,30,36,47, Stabile discloses a holding structure comprising an opaque material 722 (fig 7).

With respect to claims 10-11,16,31-32,37,69, it would have been obvious a design choice to modify the prior arts to include a portion of the holding structure or to use the system to test different type of samples or to select different range of wavelengths to pass to the detector for detecting different characteristics of the sample.

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With respect to claims 12,33, Brown discloses detecting reflected light (fig 1B or abstract).

With respect to claims 17-19,38-40,50-51,73-74, it would have been obvious to modify prior art to test different samples such as a micro-array having one or more sequences of nucleic acids immobilized for different purposes.

With respect to claims 21,41,48,84,86-94,106-108, it would have been obvious to modify the light path of the prior arts with different ranges or different materials for testing different samples or testing in different environments.

With respect to claims 42,49,72,85,105, refer to discussion in claims 1, 17.

With respect to claims 52-53,75-76, Stabile discloses a sample holder made from a light-transmissive material 105 (fig 1A) and detecting a transmitted light (fig 1A or abstract).

With respect to claims 57-61,77-78, the claimed mapping lens would have been known. It would have been obvious to modify Stabile with a known mapping lens to facilitate the testing.

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With respect to claim 62-68,83, it would have been obvious to modify the location of the elements such that they can be in direct physical contact with other to improve the system performance.

With respect to claims 70-71, Stabile discloses dark spots 107 (fig 1A) for blocking a light.

With respect to claims 109-110, 112, it would have been obvious to modify Stabile with a loading mechanism to load the sample easier and it would have been obvious to use a programmable computer for performing the testing to save testing time.

Claims 113-115 are rejected under 35 U.S.C. 103(a) as being unpatentable over Brown et al (2003/0133009) OR Stabile et al (5,872,623) in view of Che (6,140,653) and further view with Rushbrooke et al (4,922,092).

With respect to claim 113, Brown or Stabile do not disclose using fiber bundle for transmitting light. Rushbrooke disclose a system using fiber bundle 122 (fig 4A) for transmitting light. It would have been obvious to modify the prior arts with the fiber bundle as taught by Rushbrooke to make the system more accurate.

With respect to claim 114, refer to discussion in claim 53 above.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tu T. Nguyen whose telephone number is (571) 272-2424. The examiner can normally be reached on T-F 7:30-5:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Frank G Font can be reached on (571) 272-2415. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Tu T. Nguyen Primary Examiner Art Unit 2877

Conguyen

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